

11-27-01

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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. HM-69621
First Inventor Stephen J. Padden
Title MULTI-PURPOSE OFFICE TOOL
Express Mail Label No. EL521787649US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 23]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
 - b. ☐ (for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
23 Copies
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check No. 12525 for \$370.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label  or ☐ Correspondence address below

Name

24982

Address

PATENT TRADEMARK OFFICE

City

State

Zip Code

Country

Telephone

Fax

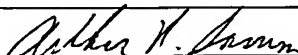
Name (Print/Type)

Arthur K. Samora

Registration No. (Attorney/Agent)

43079

Signature



Date

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JC945 U.S. PTO
10/015191
12/12/01

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$370.00)

Complete if Known

Application Number
Filing Date
First Named Inventor Stephen J. Padden
Examiner Name
Group Art Unit
Attorney Docket No. HM-69621

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$370.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims -20** = X =
Independent Claims -3** = X =
Multiple Dependent =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$0)

SUBMITTED BY

Name (Print/Type) Arthur K. Samora

Registration No. 43079
(Attorney/Agent)

Complete (if applicable)

Telephone 805-642-2101

Signature

Arthur K. Samora

Date 11/21/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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DEC 03 2001

PETITIONS OFFICE

Kenneth J. Hovet Law Offices

4476 Market Street, Suite 601

Ventura, CA 93003

(805) 642-2101

Fax: (805) 642-2102

FAX TRANSMISSION COVER SHEET

Date: December 3, 2001

To: Kery Fries, Esq., Office of Patent Legal Administration

Fax: (703) 746-3240

Re: Application of Stephen J. Padden Entitled MULTI-PURPOSE OFFICE
TOOL; Attorney Docket No.: HM-69621

Sender: Arthur K. Samora, Reg. No. 43,079

**YOU SHOULD RECEIVE 4 PAGE(S), INCLUDING THIS COVER SHEET. IF YOU
DO NOT RECEIVE ALL THE PAGES, PLEASE CALL (805) 642-2101.**

Dear Sir:

Pursuant to our conversation today, attached please find copy of statement by Romala Rathnam that complies with 37 C.F.R. 10.18. The original statement was mailed November 29, 2001, along with a re-transmittal of the above-titled application. Please don't hesitate to call us if you have any questions.

DEC 03 2001

PETITION SERVICE

PTO/SB/97 (08-00)

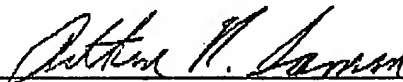
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Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the
United States Patent and Trademark Office

on December 3, 2001
Date



Signature

Arthur K. Samora

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify
each submitted paper.

Statement by Romala Rathnam detailing circumstances
surrounding Express Mailing of Patent Application of
Stephen J. Padden entitled MULTI-PURPOSE OFFICE TOOL,
Attorney Docket No.: MM-69621

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Washington, DC 20231.

HM-69621

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Stephen J. Padden)
Serial No.:)
Filed: November 21, 2001)
Title: Multi-Purpose Office Tool)
Attorney Docket No.: HM-69621)

FAX RECEIVED

DEC 03 2001

PETITIONS OFFICE

STATEMENT

U.S. Patent and Trademark Office
BOX FILING DATE
P.O. Box 2327
Arlington, VA 22202

Sir,

Receipt date 11/21/01
granted by E. Jones from OPLA

On November 21, 2001, I deposited an Express Mail envelope containing the above-captioned utility patent application in an Express Mail deposit receptacle. The USPS refused to accept the envelope and returned it to us.

Enclosed please find a re-transmittal of the patent application. I respectfully request that a November 21, 2001 filing date be accorded the above application.

I, Romala Rathnam, declare that all statements made herein of my own knowledge are true, that all statements made herein on information and belief are believed to be true, and all statements made herein are made with the knowledge that whoever, in any matter within the jurisdiction of the Patent and Trademark Office, knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, or makes any false fictitious or fraudulent statements or representations, or make or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be subject to the penalties set forth under 18 U.S.C. 1001, and that violations

of this paragraph may jeopardize the validity of the application or document, or the validity or enforceability of any patent resulting therefrom.

Romala Rathnam
Romala Rathnam

Dated: November 29, 2001.

Respectfully submitted,

Arthur K. Samora
Arthur K. Samora, Reg. No. 43, 079
Kenneth J. Hovet Law Offices
4476 Market Street, Suite 601
Ventura, California 93003
(805) 642-2101

I, Kenneth J. Hovet, hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commission of Patents and Trademarks, Washington, D.C. 20231, on 11-29-01.

Signature Kenneth J. Hovet

Date Nov. 29, 2001

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KENNETH J. HOVET
4476 Market Street, Suite 601
Ventura, California 93003

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MEMO New Util. App. HM-69621

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